

EPW

PTO/SB/21 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/593,993
Filing Date	September 22, 2006
First Named Inventor	Yasuhiko Tabata
Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Attorney Docket Number	442P106

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -Form PTO-1449 -Copy of non US references cited - 14 -Copy of the International Search Report dated 6/21/05
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Niels & Lemack		
Signature			
Printed name	Kevin S. Lemack		
Date	January 9, 2007	Reg. No.	32,579

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Kevin S. Lemack	Date	January 9, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yasuhiko Tabata et al.

Serial No. : 10/593,993

Filed : September 22, 2006

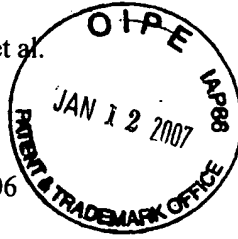
For : NOVEL WATER-SOUBLE FULLERENE, PROCESS FOR PRODUCING THE SAME AND ACTIVE OXYGEN GENERATOR CONTAINING THE FULLERINE

Examiner : Not yet assigned

Art Unit : Not yet assigned

Confirmation No: Not yet assigned

Attorney
Docket No. : 442P106



Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the document(s), which is/are listed on the attached form PTO 1449.

The relevance of some of the references cited are shown in the International Search Report filed herein on September 22, 2006, dated June 21, 2005, which indicates the degree of relevance found by the Japanese Patent Office.

Kagaku (Chemistry), 50 (6), 1995, p.331-340 (non-patent document 1) is relevant for the reasons given on page 2, lines 8 to 12.

BIOINDUSTRY, Vol. 14, No. 7, p30-37, 1997 (non-patent document 3) is relevant for the reasons given on Page 2, lines 24 to 28.

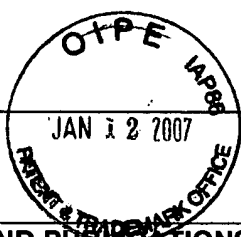
Copies of the non U.S. Patents listed on the attached form are enclosed herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **January 9, 2007**

Signature: **Kevin S. Lemack**
Date: **January 9, 2007**

Respectfully submitted,

Kevin S. Lemack
Attorney for Applicants
Registration No. 32,579
Niels & Lemack
176 E. Main Street
Westboro, MA 01581
TEL: (508) 898-1818



FORM PTO-1449	ATTY. DOCKET NO. 442P106	SERIAL NO. 10/593,993
	LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	
FILING DATE September 22, 2006		GROUP Not yet assigned

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	9-235235	9/1997	Japan			*-SR	
	BB	2002-241307	8/2002	Japan			*-SR	
	BC	9-136964	5/1997	Japan			*-SR	
	BD	2000-44215	2/2000	Japan			*-SR	
		2002-504525	2/2002	Japan				X-SR

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

CA		Copy of the International Search Report dated 6/21/05
CB		Kagaku (Chemistry), 50 (6), 1995; p.331-340
CC		Matsumura et al., Gan to Kagaku-ryohou (Japanese Journal of Cancer and Chemotherapy), Vol. 14, No. 3, p821-829, 1987, with English summary
CD		BIOINDUSTRY, Vol. 14, No. 7, p.30-37, 1997
CE		Toxicology in vitro, Vol. 16, p41-46, 2002;X.L. Yang; "Photo-induced cytotoxicity of malonic acid [C60]fullerene derivatives and its mechanism"
CF		Science, Vol. 247, p1439-1445, 1990; Kenneth S. Suslick; "Sonochemistry".
CG		Yihan Wang et al.; Tetrahedron Letters, Vol. 36, no. 38, pp.6843-6846, 1995; "A Superior Synthesis of [6,6]-Methanofullerenes: The Reaction of Sulfonium Ylides with C60".

EXAMINER	DATE CONSIDERED
-----------------	------------------------

EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*=English Abstract

SR=Cited in Search Report